

NOTICE OF YOUR FINANCIAL PRIVACY RIGHTS

This is our privacy notice for our customers. When we use the words "you" and "your" we mean all of our consumers or customers who have a continuing relationship with us, such as customers who maintain a futures account with us.

We will tell you the sources for nonpublic personal information we collect on our customers. We will tell you what measures we take to secure that information.

First, we'll define some terms:

We, our, and us means TransAct Futures, a division of York Business Associates, LLC.

Nonpublic personal information means information about you that we collect in connection with providing a financial product or service to you. Nonpublic personal information does not include information that is available from public sources, such as telephone directories or government records.

An **affiliate** is a company we own or control, a company that owns or controls us, and a company that is owned or controlled by the same company that owns or controls us. Ownership does not mean complete ownership, but means owning enough to have control.

A **nonaffiliated third party** is a company that is not an affiliate of ours.

INFORMATION THAT WE COLLECT

We collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms, or through telephone conversations with you
- Information about the services you receive from, or your transactions with, us or our affiliates
- Information about the services you receive from, or your transactions with, **nonaffiliated third parties**
- Information from a consumer reporting agency

INFORMATION THAT WE DISCLOSE

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted by law.

THE CONFIDENTIALITY, SECURITY AND INTEGRITY OF YOUR NONPUBLIC PERSONAL INFORMATION

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal regulations to guard your nonpublic personal information.